

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

UNITED STATES SECURITIES AND  
EXCHANGE COMMISSION,

Plaintiff,

v.

DAVID LEE STONE and  
JOHN D. ROBSON,

Defendants,

and

HAROLD J. STONE,  
GWENDOLYN STONE,  
JUSTIN BLAKESLEY, and  
BRETT R. ADAMS,

Relief Defendants.

USDC SDNY  
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Case No. 22-cv-3553 (VM)

ORDER GRANTING  
UNOPPOSED JOINT  
MOTION TO MODIFY THE  
ASSET FREEZE ORDER AS  
TO RELIEF DEFENDANT  
BRETT R. ADAMS

This matter comes before the Court on the Joint Motion filed by Plaintiff United States Securities and Exchange Commission and Relief Defendant Brett R. Adams (“Adams”) seeking leave to modify the Court’s Asset Freeze Order, ECF No. 17, as modified, ECF Nos. 47 and 243, to allow Mr. Adams to sell securities held in a frozen brokerage account, with Mr. Adams holding such proceeds in cash or in a money market fund and the entire account shall remain frozen until further order of the Court. Being fully advised of the premises, the Court hereby ORDERS that the Motion is GRANTED.

IT IS HEREBY ORDERED that the Asset Freeze Order, ECF Nos. 17, 47, and 243, as to Mr. Adams is MODIFIED to permit him to sell/liquidate the securities held in a frozen brokerage account in his name held by TD Ameritrade, Inc. (“TD Ameritrade”), ending \*1423.

The proceeds of such sales shall remain frozen in the TD Ameritrade account (ending \*1423) and Mr. Adams shall hold such proceeds in cash or in a money market fund and the entire account shall remain frozen until further order of the Court.

**SO ORDERED** this 6th day of February, 2024.



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The Honorable Victor Marrero  
United States District Judge